



# CanaGlobe

COMPLIANCE SOLUTIONS INC.

ISSUE 14 DEC 2011

## The Compliance Connection

Public Company. Compliant Company.

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### CanaGlobe Compliance Solutions Inc.

CanaGlobe Compliance Solutions Inc. is a consulting firm focused on all aspects of corporate compliance with an emphasis on electronic

regulatory filings in both Canada and the United States. Brenda Davis and Suzanne Ferguson have a strong understanding of the need for good

corporate governance and coupled with years of experience in the field are poised to meet the unique needs of each client.

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**Shareholder Meetings – An Overview** Olympia Trust Company and CanaGlobe Compliance Solutions present this webinar which is intended to assist those who will be involved with the shareholder meeting process and will review the basics including:

- bylaws, corporate and securities law and regulations and their effect on the meeting process
- decisions to be made throughout the process from starting the meeting to the meeting itself
- knowing your shareholders
- proxy tabulation process

A question and answer period will follow the presentation.

Click [HERE](#) to register to this webinar. After you have registered you will be redirected to the payment page.

Cost: \$75.00



### Our Services Include:

- Corporate Secretary Services
- SEDAR Filings
- EDGAR Filings
- SEDI Filings
- Compliance Consulting
- Shareholder Meetings
- Stock Exchange Applications
- Whistleblowing

**ASC 2011 Corporate Finance Disclosure Report** The Alberta Securities Commission (ASC) has posted to their website the 2011 Corporate Finance Disclosure Report. The purpose of this report is to provide market participants with the ASC's comments on the quality of continuous disclosure of Alberta reporting issuers for the 2011 year with the objective of improving the quality of future continuous disclosure.

You can view the complete report [HERE](#).

### CSA Notice – National Policy 11-201 Delivery of Documents by

**Electronic Means** The Canadian Securities Administrators ("CSA") has issued a notice announcing the adoption of amendments to National Policy 11-201 *Delivery of Documents by Electronic Means* which will come into force on November 18, 2011. The amendments explain how Canadian securities legislation obligations for the delivery of documents may be satisfied by electronic means and have taken into account changes in legislation and technology, ensuring that the policy remains up-to date.

You can find the complete notice with all of the amendments [HERE](#).



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## **2012 Filing Deadlines/Downloadable Calendars**

We have created downloadable and installable calendars to help simplify the complicated world of filing due dates for both Venture and Non-venture reporting companies. This feature contains calendars that are organized by year end and stock exchange and contain the filing deadlines specific to that year end. By simply selecting the appropriate link from the list you will be able to download your financial filing deadlines for the 2012 calendar year either into your current calendar or you can create a separate calendar within your email program.

You can find the calendars and the download instructions [HERE](#).

The due dates in our downloadable calendars do not take into account the extension for the first interim financial report of first-time adopters of IFRS for financial years beginning on or after January 1, 2011. For the extension dates, please see the calendar on the BCSC website [HERE](#).

For information on the fees payable with the filing of the audited financial statements please see [our website](#).

Don't forget to visit our Blog at:

<http://thecomplianceconnection.wordpress.com>

## **OSC Corporate Finance – IFRS Release No. 5 - First IFRS Annual Financial Statements**

**– Tips for Year End** The Ontario Securities Commission (“OSC”) has posted to their website a guide which highlights the key elements that are required in the first IFRS annual financial statements. This reference tool has been created to assist issuers in ensuring that the key IFRS elements are included and to avoid the possibility of a restatement. It is also important to note that there is no filing extension for the annual filings.

You can find the tip sheet [HERE](#).

**ASC 2011 Oil and Gas Disclosure Review** The Alberta Securities Commission (ASC) has posted to their website a copy of the December 8, 2011 Oil and Gas Disclosure Review webinar which may be helpful in the preparation of 51-101 continuous disclosure documents.

You can view the presentation materials [HERE](#) and the archived webinar [HERE](#).

## **CCGG 2011 Best Practices for Proxy**

**Circular Disclosure** The Canadian Coalition for Good Governance (“CCGG”) has published to their website the latest edition in their best practices series, *2011 Best Practices for Proxy Circular Disclosure*.

You can find a copy of the document [HERE](#).

## **Globe and Mail Board Games 2011**

The Globe and Mail has published *Board Games 2011*, its 10th annual review and rankings of corporate governance practices of Canadian corporations and income trusts. The rankings, which are based on a series of questions on board composition, shareholding and compensation, shareholder rights and disclosure can be found [HERE](#).

## **Timely Disclosure Obligations for**

**TSX Issuers** In the November issue of “Issuer Update” the Toronto Stock Exchange (“TSX”) has included an article which discusses the timely disclosure requirements for TSX issuers and helps simplify and clarify the process for disseminating information.

This article breaks down news releases into material and non-material information and whether you are disseminating the information between 8am and 5pm(ET) or after 5pm and before 8am (ET).

The complete article can be found [HERE](#), on page three of the Issuer Update.

**Reminder** - An electronic filing that is required to be signed or certified should be filed using a conformed signature which should be presented in typed form.

*DISCLAIMER: The contents of this Newsletter is provided for information purposes only and does not provide advice, legal or otherwise.*