



# CanaGlobe

COMPLIANCE SOLUTIONS INC.

ISSUE 20 NOVEMBER 2013

## The Compliance Connection

Public Company. Compliant Company.

### INSIDE THIS ISSUE:

Professional Development	1
ASC Oil & Gas Review	1
2013 Filing Deadlines	2
CSA Request for Comment— National Instrument 51-101 Standards of Disclosure for Oil and Gas Activities	2
SEDAR Fees	2
CCGG—Building High Performance Boards	2

### Contact Information:

#### **Brenda Davis**

Phone Direct: 403-452-8026

Email:

[bdavis@canaglobecompliance.com](mailto:bdavis@canaglobecompliance.com)

#### **Suzanne Ferguson**

Phone Direct: 403-452-8002

Email:

[sferguson@canaglobecompliance.com](mailto:sferguson@canaglobecompliance.com)

### Our Services Include:

- Corporate Secretary Services
- SEDAR Filings
- EDGAR Filings
- SEDI Filings
- Compliance Consulting
- Shareholder Meetings
- Stock Exchange Applications
- Whistleblowing

### **CanaGlobe Compliance Solutions Inc.**

CanaGlobe Compliance Solutions Inc is a consulting firm providing corporate compliance and governance services to private and public companies.

We offer assistance with regulatory filings to the various Stock Exchanges and Securities Commissions in Canada as well as in the United States.

In addition, we offer full Corporate Secretary Services and provide assistance in creating Corporate Governance policies for our clients.

Email: [info@canaglobecompliance.com](mailto:info@canaglobecompliance.com)

Website: [www.canaglobecompliance.com](http://www.canaglobecompliance.com)

### **Professional Development Day - January 21st, 2014 - Calgary, AB**

CanaGlobe is working with industry professionals to bring you an interactive day of discussions to help you navigate the obligations of a public company. The following topics will be presented:

- Broadridge - *An Overview – Who are they and what do they do?*
- Fasken Martineau - *Corporate & Securities Law: 101 - Bylaws, Corporations Act, Articles of Incorporation, Policies and Instruments - what to look for in each and how they affect daily responsibilities*
- Canadian Stock Transfer Company, Broadridge - *Panel Discussion - Proxy Voting Process*
- Phoenix Strategies - *Investor Relations Basics - Why should you care and how to maximize the value of your efforts*
- Valiant Trust Company, Broadridge - *Panel Discussion - Notice and Access Post Mortem*
- Alberta Securities Commission - *An update from the ASC*
- CST IR Solutions - *Dark Pools - What are they and why do you need to know about them?*

Further information and registration details can be found [on our website](#).

### **ASC Publishes 2013 Oil & Gas Review Report**

The Alberta Securities Commission has published its 2013 Oil & Gas Review Report. The report outlines recurring disclosure deficiencies and contains other valuable information for issuers who are required to comply with National Instrument 51-101 *Standards of Disclosure for Oil and Gas Activities*.

The report can be viewed in its entirety [HERE](#).



# CanaGlobe

COMPLIANCE SOLUTIONS INC.

*Public Company. Compliant Company*

[www.canaglobecompliance.com](http://www.canaglobecompliance.com)

Don't forget to visit our Blog at:

[http://](http://theconnection.wordpress.com)

[theconnection.wordpress](http://theconnection.wordpress.com)

[.com](http://theconnection.wordpress.com)

## **2013 Filing Deadlines/Downloadable Calendars** **Now Available for Download**

We have created downloadable and installable calendars to help simplify the complicated world of filing due dates for both Venture and Non-venture reporting companies. This feature contains calendars that are organized by year end and stock exchange and contain the filing deadlines specific to that year end. By simply selecting the appropriate link from the list you will be able to download your financial filing deadlines for the 2013 calendar year either into your current calendar or you can create a separate calendar within your email program.

*You can find the calendars and the download instructions [HERE](#).*

For information on the fees payable with the filing of the audited financial statements please see [our website](#).

## **CSA Notice and Request for Comment – National Instrument 51-101 Standards of Disclosure**

**for Oil and Gas Activities** The Canadian Securities Administrators (“CSA”) has published for comment proposed amendments to National Instrument 51-101 *Standards of Disclosure for Oil and Gas Activities* and related forms. Some of the proposed amendments include:

- inclusion and refinement of product type definitions in NI 51-101
- promote better disclosure of resources other than reserves and associated metrics;
- clarification of the point at which sales of oil and gas, and resources should be disclosed;
- revision of the date at which the independent qualified reserves evaluator takes responsibility for information related to the reserves evaluation;
- clarification of required disclosure when an issuer has no reserves
- and to bring NI 51-101 into harmony with proposed changes to the Canadian Oil and Gas Evaluation Handbook

Comments must be submitted in writing by January 17, 2014 and you can read the complete notice and proposed amendments [HERE](#).

## **New SEDAR System Access Fees**

The fees payable with the filing of documents including the annual audited financial statements and prospectus filings have recently changed.

You can find the updated SEDAR System fee list [HERE](#) and you can calculate the required jurisdiction fees for all documents [HERE](#).

If you need assistance calculating the fees due with your filing please contact your SEDAR filing agent.

## **CCGG 2013 Building High Performance Boards**

The Canadian Coalition for Good Governance (“CCGG”) has published to their website the latest edition of their *Building High Performance Boards* which may be of assistance when developing or reviewing corporate governance policies.

You can find a copy of the document [HERE](#).

**Reminder** – All press releases must be posted to the company website concurrently with their dissemination and filing on SEDAR.

*DISCLAIMER: The contents of this Newsletter is provided for information purposes only and does not provide advice, legal or otherwise.*